

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U65993TG1991PTC013491

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AADCS4132K

(ii) (a) Name of the company

CASPIAN IMPACT INVESTMENT

(b) Registered office address

4th floor, Ventureast Plaza, Plot No.40 & 41
Financial District, Gachibowli,
Hyderabad
Hyderabad
Telangana
500032

(c) *e-mail ID of the company

compliance@caspian.in

(d) *Telephone number with STD code

04066297100

(e) Website

(iii) Date of Incorporation

25/11/1991

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☐ Yes

☒ No

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted ☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	7,500,000	6,666,121	6,666,121	6,666,121
Total amount of equity shares (in Rupees)	75,000,000	66,661,210	66,661,210	66,661,210

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	7,500,000	6,666,121	6,666,121	6,666,121

Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	75,000,000	66,661,210	66,661,210	66,661,210

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	41,000,000	0	0	0
Total amount of preference shares (in rupees)	410,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Preference Share				
Number of preference shares	41,000,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	410,000,000	0		

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	6,666,121	0	6666121	66,661,210	66,661,210	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	
ii. Rights issue	0	0	0	0	0	
iii. Bonus issue	0	0	0	0	0	
iv. Private Placement/ Preferential allotment	0	0	0	0	0	
v. ESOPs	0	0	0	0	0	
vi. Sweat equity shares allotted	0	0	0	0	0	
vii. Conversion of Preference share	0	0	0	0	0	

viii. Conversion of Debentures	0	0	0	0	0	
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <div></div>						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div></div>						
At the end of the year	6,666,121	0	6666121	66,661,210	66,661,210	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <div></div>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div></div>						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☐ Yes ☒ No ☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes ☒ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		20/08/2021	
Date of registration of transfer (Date Month Year)		12/11/2021	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	3,533	Amount per Share/ Debenture/Unit (in Rs.)	0
Ledger Folio of Transferor		97	
Transferor's Name	Sudhakar		Shilpa
	Surname	middle name	first name
Ledger Folio of Transferee		107	

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text" value="Prasad Family Private Trust"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
--	----------------------

Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
---	----------------------	---	----------------------

Ledger Folio of Transferor	<input type="text"/>
----------------------------	----------------------

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
----------------------------	----------------------

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	2,100	1000000 / 100000	525,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			525,000,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	708,300,000	0	183,300,000	525,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

669,300,000

(ii) Net worth of the Company

1,748,500,000

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	895,164	13.43	0	
10.	Others Prasad Family Private Trust	346,113	5.19	0	
	Total	1,241,277	18.62	0	0

Total number of shareholders (promoters)

2

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	336,016	5.04	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	1,300	0.02	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	3,751,657	56.28	0	
10.	Others AIF- Category I Social Ventu	1,335,871	20.04	0	

	Total	5,424,844	81.38	0	0
--	--------------	-----------	-------	---	---

Total number of shareholders (other than promoters)

11

**Total number of shareholders (Promoters+Public/
Other than promoters)**

13

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	2	2
Members (other than promoters)	12	11
Debenture holders	4	4

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	1	0	0	1	0	0
B. Non-Promoter	0	5	1	4	0.11	0
(i) Non-Independent	0	0	1	1	0.11	0
(ii) Independent	0	5	0	3	0	0
C. Nominee Directors representing	0	3	0	3	0.02	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	3	0	3	0.02	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	8	1	8	0.13	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

10

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
NARAYAN RAMACHAN	01873080	Director	0	
SHAIENDRA KUMAR	01689889	Director	0	
PRASEEDA KUNAM	01767987	Director	0	
MAHESH KANUMURY	02028993	Director	0	
VISWANATHA PRASAD	00574928	Additional director	0	
PAUL DILEO	00160736	Nominee director	1,300	
RANGANATHAN VARA	01060651	Nominee director	0	
KAREL GERARDUS NI	07743489	Nominee director	0	
AVISHEK GUPTA	09351254	Managing Director	7,405	
Vishwanath Muniganti	ANGPM2299C	CFO	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

11

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
MATHEW TITUS	00159636	Director	01/07/2021	Cessation
MAHESH KANUMURY	02028993	Additional director	30/06/2021	Appointment
MAHESH KANUMURY	02028993	Director	20/08/2021	Change in Designation
PRASEEDA KUNAM	01767987	Director	20/08/2021	Change in Designation
SHAIENDRA KUMAR	01689889	Director	20/08/2021	Change in Designation
VISWANATHA PRASAD	00574928	Managing Director	08/12/2021	Cessation
VISWANATHA PRASAD	00574928	Additional director	09/12/2021	Appointment
AVISHEK GUPTA	09351254	Managing Director	09/12/2021	Appointment
Vishwanath Muniganti	ANGPM2299C	CFO	09/12/2021	Appointment
VANDANA BHATIA	ARJPB1064D	Company Secretary	07/12/2021	Cessation
SAURABH KUMAR JOH	AETPJ0112K	CFO	09/12/2021	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	20/08/2021	14	13	99.95

B. BOARD MEETINGS

*Number of meetings held

7

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	21/05/2021	9	9	100
2	23/07/2021	8	7	87.5
3	20/08/2021	8	7	87.5
4	08/10/2021	8	6	75
5	12/11/2021	8	8	100
6	11/02/2022	9	8	88.89
7	08/03/2022	9	5	55.56

C. COMMITTEE MEETINGS

Number of meetings held

25

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	20/05/2021	3	3	100
2	Audit Committee	23/07/2021	3	3	100
3	Audit Committee	19/08/2021	3	3	100
4	Audit Committee	11/11/2021	3	2	66.67

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
5	Audit Committee	10/02/2022	3	3	100
6	Nomination and Remuneration	20/05/2021	4	3	75
7	Nomination and Remuneration	19/08/2021	4	4	100
8	Nomination and Remuneration	08/10/2021	4	4	100
9	Nomination and Remuneration	10/02/2022	4	4	100
10	Corporate Social Responsibility	18/08/2021	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	09/08/2022
								(Y/N/NA)
1	NARAYAN RAJESH	7	7	100	13	9	69.23	Yes
2	SHAIKENDRA	7	7	100	10	9	90	Yes
3	PRASEEDA K	7	7	100	6	6	100	Yes
4	MAHESH KAN	7	7	100	11	11	100	No
5	VISWANATHA	7	7	100	15	15	100	Yes
6	PAUL DILEO	7	6	85.71	10	10	100	Yes
7	RANGANATH	7	4	57.14	0	0	0	No
8	KAREL GERA	7	3	42.86	8	6	75	No
9	AVISHEK GUPTA	2	2	100	7	6	85.71	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	AVISHEK GUPTA	MANAGING DIF	2,000,000	0	0	0	2,000,000
	Total		2,000,000	0	0	0	2,000,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	VISHWANATH MUI	CHIEF FINANCE	1,100,000	0	0	0	1,100,000
2	VANDAMA BHATIA	COMPANY SEC	1,600,000	0	0	0	1,600,000
	Total		2,700,000	0	0	0	2,700,000

Number of other directors whose remuneration details to be entered

7

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	MAHESH KANUMU	ADDITIONAL DI	0	0	0	570,000	570,000
2	PAUL DILEO	NOMINEE DIRE	0	0	0	500,000	500,000
3	MATHEW TITUS	DIRECTOR	0	0	0	50,000	50,000
4	NARAYAN RAMAC	DIRECTOR	0	0	0	530,000	530,000
5	PRASEEDA KUNAM	DIRECTOR	0	0	0	470,000	470,000
6	SHAILENDRA KUM	DIRECTOR	0	0	0	480,000	480,000
7	S. VISWANATHA P	ADDITIONAL DI	0	0	0	180,000	180,000
	Total		0	0	0	2,780,000	2,780,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

- * A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Jayashree Iyer

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

21403

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...

14(e)

dated

12/11/2021

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

AVISHEK GUPTA
Digitally signed by
AVISHEK GUPTA
Date: 2022.10.12
17:37:41 +05'30'

DIN of the director

09351254

To be digitally signed by

SUDHA MAYI PULLA
Digitally signed by
SUDHA MAYI PULLA
Date: 2022.10.12
17:52:02 +05'30'

- ☐ Company Secretary
- ☒ Company secretary in practice

Membership number 20588

Certificate of practice number 10407

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachement(s), if any

Attach

Attach

Attach

Attach

List of attachments

Committee Meeings.pdf
MGT-8.pdf
Share Holding Pattern 31-03-2022.pdf
List of Debentureholder as on 31st March2
UDIN-Caspian.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company